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EXPRESS MAIL LABEL NO. EV218960454US

PATENT APPLICATION

Docket No. 7678.585

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

McLean et al.

Serial No. 10/044,346 ✓

Confirmation No. 9698

Filed: January 11, 2002 ✓

For: OPTICAL LENS USED TO FOCUS LED LIGHT

Examiner: Not Yet Assigned

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TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

X Form PTO-1449 listing six (6) references submitted for consideration.

X Copies of the six (6) references listed on the Form PTO-1449.

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

X The transmitted Information Disclosure Statement is being filed within three (3) months of Applicant first becoming aware of the references, and before the mailing of the first Office Action. Therefore, no fee is due.

C. Fees

The Commissioner is hereby authorized to charge payment or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this sheet is enclosed.

Dated this 16<sup>th</sup> day of December 2002.

Respectfully submitted,



John M. Guynn  
Attorney for Applicants  
Registration No. 36,153



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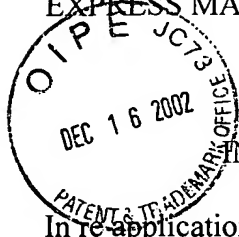
PATENT TRADEMARK OFFICE

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EXPRESS MAIL LABEL NO. EV218960454US

PATENT APPLICATION  
Docket No. 7678.585

#6/IDS  
3-18-03  
2. Draft



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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of

which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

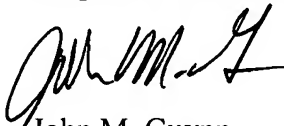
In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

This Information Disclosure statement is being filed within three (3) months of Applicant becoming aware of the references and before the mailing of the first Office Action, therefore no fee is due. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED this 16<sup>th</sup> day of December 2002.

Respectfully submitted,



John M. Guynn  
Attorney for Applicants  
Registration No. 36,153



**022913**

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Examiner: Not Yet Assigned

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1:10 on the date indicated below in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date of deposit: December 16, 2002.

- Information Disclosure Statement
- Form PTO-1449 Listing Six (6) References
- Copies of the Six (6) Listed References
- Transmittal Letter
- Postcard

Respectfully submitted,

John M. Guynn  
Attorney for Applicants  
Registration No. 36,153

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